



3682#3

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/753,978	
	Filing Date	January 3, 2001	
	First Named Inventor	Wayne R. Lumpkin	
	Group Art Unit	3682 - Cnfrm #1708	
	Examiner Name		
Total Number of Pages in This Submission	7	Attorney Docket Number	AVID.13-3

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s) Substitute (24 sheets)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Cited References - (2-US Patents; 1-Other Document)
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	Reply to Notice to File Corrected Application Papers
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	Part 2: Notice to File Corrected Application Papers
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Swanson & Bratschun, L.L.C. Thomas D. Bratschun, #32,966
Signature	
Date	May 22, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: May 22, 2001			
Typed or printed name	Cindy Bennett		
Signature		Date	May 22, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: LUMPKIN
SERIAL NO.: 09/753,978
FILED: JANUARY 3, 2001
TITLE: FLOATING CABLE STOP FOR A CABLE
ACTUATED BICYCLE COMPONENT

EXAMINER:
ART UNIT: 3682
CNFRM. NO. 1708

Assistant Commissioner for Patents
Washington, D.C. 20231
Attn: Official Draftsman

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Dear Sir:

REPLY TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

The applicant hereby replies to the "Notice to File Corrected Application Papers - Filing Date Granted," mailed March 22, 2001. Part 2 of the Notice is enclosed. In reply to the Notice, the applicant submits substitute drawings (24 sheets) in compliance with 37 CFR 1.84.

The undersigned hereby authorizes the charge of any deficiency to deposit account no. 19-5117.

Respectfully submitted,

Thomas D. Bratschun, #32,966
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37 CFR 1.8
CERTIFICATE OF MAILING

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Assistant Commissioner for Patents, Washington, D.C. 20231 on May 22, 2001

Signature:
Name: Cindy Bennett



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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/753,978	01/03/2001	Wayne R. Lumpkin	AVID.13-3

CONFIRMATION NO. 1708

FORMALITIES LETTER



OC000000005892865

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Highlands Ranch, CO 80129

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Date Mailed: 03/22/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS


Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE